

## STABLE STRUCTURE AND CANCELLATION OF QUADRATIC SPACES OVER LAURENT EXTENSIONS OF RINGS OF DIMENSION ONE

Parvin SINCLAIR

*Tata Institute of Fundamental Research, Bombay 400 005, India*

Communicated by H. Bass

Received 1 November 1982

Revised 25 June 1984

### 1. Introduction

Let  $R$  be a commutative Noetherian ring in which 2 is invertible. In [3] Karoubi has proved that if  $R$  is a regular ring, then  $W(R[T, T^{-1}]) \cong W(R) \oplus W(R)$  where  $W$  denotes the Witt ring functor. In this paper we show that if  $R$  is a ring of dimension one with finite normalisation  $\bar{R}$ , then for any quadratic space  $q$  over  $R[T, T^{-1}]$  there exist quadratic spaces  $q_0, q_1$  over  $R$  such that  $[q] = [q_0 \perp Tq_1]$ ,  $[\cdot]$  denoting the equivalence class in  $W(R[T, T^{-1}])$ . Using this, in Theorem 2.4 we prove that

$$0 \rightarrow K \rightarrow W(R) \oplus W(R) \rightarrow W(R[T, T^{-1}]) \rightarrow 0$$

is an exact sequence of groups where  $K$  is the kernel of the canonical map  $W(R) \rightarrow W(\bar{R}) \oplus W(R/\mathfrak{C})$ ,  $\mathfrak{C}$  being the conductor of  $R$  in  $\bar{R}$ . We also prove (Theorem 3.2) that quadratic spaces over  $R[T, T^{-1}]$  of Witt index  $\geq 2$  are cancellative. This is an improvement of the general cancellation theorem [8, Theorem 7.2] for this particular case. The proof of these results uses the structure of the orthogonal group of isotropic quadratic spaces over  $k[T, T^{-1}]$ , where  $k$  is a field, which is given in Lemma 1.3.

In this paper we assume that 2 is invertible in all rings considered. Also for any ring  $R$ , by  $\mathcal{P}(R)$  we will mean the class of all finitely generated projective  $R$ -modules and  $\mu_2(R) = \{x \in R \mid x^2 = 1\}$ .

I would like to thank Dr. Parimala for her interest in this work.

### 1. Orthogonal transformations

In this section, we include a few lemmas which are needed in this paper.

Let  $R$  be a commutative ring. Let  $(Q, q)$  be a quadratic space over  $R$ ,  $h$  be the hyperbolic plane  $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  and let  $Re \oplus Rf$  be the underlying module of the form  $h$  with

$\langle e, f \rangle = 1, \langle e, e \rangle = 0 = \langle f, f \rangle$ . For  $w \in Q$  the elements  $E_w, E_w^* \in O(q \perp h)$  are defined as follows [8, p. 291]:

$$E_w(z) = z + \langle z, w \rangle e, \quad z \in Q,$$

$$E_w(e) = e, \quad E_w(f) = -w - q(w)e + f$$

and

$$E_w^*(z) = z + \langle z, w \rangle f, \quad z \in Q,$$

$$E_w^*(e) = -w - q(w)f + e, \quad E_w^*(f) = f.$$

Let  $EO_R(q, h)$  denote the subgroup of  $O_R(q \perp h)$  generated by the set  $\{E_w, E_w^* \mid w \in Q\}$ . Recall [4] that  $O_R(h)$  normalises  $EO_R(q, h)$ . Let  $G_R(q, h)$  denote the subgroup  $EO_R(q, h) \cdot O_R(h)$  of  $O_R(q \perp h)$ . Let  $U(R)$  denote the set of units of  $R$ . For any  $u$  in  $U(R)$  let  $\tau_u$  denote the element  $\begin{pmatrix} u & 0 \\ 0 & u^{-1} \end{pmatrix}$  of  $O_R(h)$ .

**Lemma 1.1.** *Let  $k$  be a field of characteristic  $\neq 2$  and  $q$  be a quadratic space over  $k$ . If, for  $a \in k^*, \tau_a \in EO_k(q, h)$ , then  $a = b^2$  where  $b \in k^*$ .*

**Proof.** See [2, p. 27, Theorem 4.6].

**Lemma 1.2.** *Let  $R$  be a domain in which 2 is a unit. Let  $(Q, q)$  be a quadratic space over  $R$  which represents a unit. Then, for any unit  $u$  in  $R$ ,  $\text{Id} \perp \tau_{u^2} \in EO_R(q, h)$ .*

**Proof.** Let  $w \in Q$  such that  $q(w) \in U(R)$ . Let  $s \in R$  such that  $1 - sq(w) = u^{-1}$ . Then, we have [2, p. 16, 3.16]

$$\tau_{u^2} = E_{-suw^{-1}}^* E_{-uw} E_{sw}^* E_w.$$

Thus  $\tau_{u^2} \in EO_R(q, h)$ .

**Lemma 1.3.** *Let  $k$  be a field of characteristic  $\neq 2$  and  $(Q, q)$  a quadratic space over  $R = k[X, X^{-1}]$ . Then  $O_R(q \perp h) = G_R(q, h)$ .*

**Proof.** The proof is by induction on rank  $q$ . If rank  $q = 0$ , then  $O_R(q \perp h) = O_R(h)$ . We assume rank  $q = n > 0$ . By [5, Lemma 1.2]  $Q$  has an orthogonal basis  $\{e_1, \dots, e_n\}$  with  $q(e_i) = \lambda_i$  where  $\lambda_i \in k^*$  or  $\lambda_i = \mu_i X, \mu_i \in k^*$ . As in the proof of [6, Lemma 1.1] it follows that  $O_R(q) \subseteq G_R(q, h)$ . Let  $\alpha \in O_R(q \perp h)$  with  $\alpha(f) = \xi + ae + bf$ , where  $\xi = \sum_i a_i e_i, a_i, a, b \in R, \langle e, e \rangle = 0 = \langle f, f \rangle$  and  $\langle e, f \rangle = 1$ . In case  $\xi = 0$  or  $\xi \neq 0$  and  $a$  or  $b$  is a unit in  $R$ , we see that  $\alpha \in G_R(q, h)$ , as in the proof of [6, Lemma 1.1]. Suppose that  $\xi \neq 0$  and neither  $a$  nor  $b$  is a unit. We induct on  $\min(d(a), d(b))$  where  $d$  denotes the Euclidean function on  $R$  induced by the degree function on  $k[X]$ . Consider the ideal  $bR$ . There exists  $p \in k[X]$ , with  $1 + Xp \in bR$ . Let  $b' \in R$  be such that  $1 + Xp = bb'$ . For each  $i = 1, \dots, n$  there are  $g_i \in k[X]$  and  $c_i \in R$  with  $a_i = g_i + c_i(1 + Xp) = g_i + c_i bb'$ . Then

$$E_{\sum_i b' c_i e_i} \circ \alpha(f) = \sum_i g_i e_i + \left( a - b' \sum_i a_i c_i \lambda_i - \frac{1}{2} bb'^2 \sum_i c_i^2 \lambda_i \right) e + bf$$

and  $g_i \in k[X]$  for all  $i = 1, \dots, n$ . Thus, we may assume

$$\alpha(f) = \sum_i a_i e_i + ae + bf \quad \text{and} \quad a_i \in k[X] \quad \text{for } i = 1, \dots, n.$$

Assume  $d(a) \leq d(b)$ , the proof being similar if  $d(b) \leq d(a)$ . If  $a = a'X^s$  with  $(a', X) = 1$  and  $a' \in k[X]$ , then

$$\tau_{X^s} \circ \alpha(f) = \sum_i a_i e_i + a'X^{s+s'}e + bX^{-s'}f$$

and  $d(a) = \deg a' = d(a'X^{s+s'})$ . Therefore we may also assume that  $\alpha(f) = \sum_i a_i e_i + ae + bf$  with  $a_i \in k[X]$ ,  $a = a'X^l \in k[X]$ ,  $l \geq 0$ ,  $(a', X) = 1$ ,  $a' \in k[X]$ . For each  $i = 1, \dots, n$ , given  $a_i$  and  $a'$  there are  $l_i$  and  $m_i$  in  $k[X]$  with  $a_i = a'l_i + m_i$ ,  $m_i = 0$  or  $\deg m_i < \deg a' = d(a)$ . Thus  $a_i = aq_i + m_i$  with  $q_i \in R$  and  $m_i \in k[X]$ ,  $d(m_i) < d(a)$ . Let  $w = -\sum_i q_i e_i$ . Then

$$E_{-w}^* \circ \alpha(f) = (\xi + aw) + ae + b''f \quad \text{where } b'' = b - aq(w) - \langle \xi, w \rangle.$$

Since  $q(\alpha(f)) = 0$ , we have  $\sum_i a_i^2 \lambda_i + 2ab = 0$ . Hence

$$\sum_i m_i^2 \lambda_i = -a'X^l \left( 2b + 2 \sum_i q_i m_i \lambda_i + \sum_i aq_i^2 \lambda_i \right).$$

We choose  $l \geq 0$  so that  $X \mid \sum_i m_i^2 \lambda_i$ . If  $\max_i \{ \deg m_i^2 \lambda_i \}$  is attained at  $j$ , we have

$$\begin{aligned} d\left(\sum_i m_i^2 \lambda_i\right) &\leq \deg\left(\sum_i m_i^2 \lambda_i\right) - 1 \leq \deg(m_j^2 \lambda_j) - 1 \\ &\leq 2 \deg m_j < 2 \deg a' = 2d(a). \end{aligned}$$

Thus  $d(\sum_i m_i^2 \lambda_i) < 2d(a)$  and  $d(q(\xi + aw)) < 2d(a)$ . Since  $q(E_{-w}^* \alpha(f)) = 0$ , we have  $q(\xi + aw) = -2ab''$  and  $d(b'') < d(a)$ . Thus we have reduced  $\min(d(a), d(b))$  by applying elements of  $G_R(q, h)$  to  $\alpha$ . During this procedure the first  $n$  components of  $\alpha(f)$  remain polynomials, and hence, repeating the above process only involves applying elements of  $O_R(h)$  and so we continue decreasing  $\min(d(a), d(b))$  till it becomes zero.

**Remark 1.4.** Let  $k$  be a field with characteristic different from 2 and  $q$  be a diagonalisable space over  $R = k[X, 1/f]$ ,  $f \in k[X]$ . Then it can be similarly proved that  $O_R(q \perp h) = G_R(q, h)$ .

## 2. Stable structure of quadratic spaces over $R[T, T^{-1}]$

Let  $R$  be a reduced ring with finite normalisation  $\bar{R}$  and let  $\mathbb{C}$  be the conductor of  $R$  in  $\bar{R}$ . Let  $A, \bar{A}, A/\mathbb{C}, \bar{A}/\mathbb{C}$  denote the Laurent extensions  $R[T, T^{-1}]$ ,  $\bar{R}[T, T^{-1}]$ ,  $(R/\mathbb{C})[T, T^{-1}]$ ,  $(\bar{R}/\mathbb{C})[T, T^{-1}]$  respectively. Then we have the Cartesian squares

$$(1) \quad \begin{array}{ccc} R & \longrightarrow & \bar{R} \\ \downarrow & & \downarrow \\ R/\mathfrak{C} & \longrightarrow & \bar{R}/\mathfrak{C} \end{array} \quad \text{and} \quad (2) \quad \begin{array}{ccc} A & \longrightarrow & \bar{A} \\ \downarrow & & \downarrow \\ A/\mathfrak{C} & \longrightarrow & \bar{A}/\mathfrak{C} \end{array}$$

By ‘ $\sim$ ’ we will denote ‘going modulo  $\langle T-1 \rangle$ ’.

**Lemma 2.1.** *Let  $S$  be a ring in which 2 is invertible. Then*

$$\mu_2(S[T, T^{-1}]) = \mu_2(S).$$

**Proof.** Let  $f = T^{-s}(a_0 + a_1T + \dots + a_rT^r) \in \mu_2(S[T, T^{-1}])$ . Suppose first that  $S$  is reduced. The equation  $f^2 = 1$  gives  $f = a_r \in \mu_2(S)$ . Now let  $S$  be arbitrary and let ‘ $\sim$ ’ denote ‘going modulo  $N$ ’ where  $N$  is the nil radical of  $S$ . Then  $f' \in \mu_2(S'[T, T^{-1}]) = \mu_2(S')$ . Let  $a \in U(S)$  such that  $a' = f'$ . Then  $a^2 = (1+y)^2$  for some  $y \in N$ , since  $2 \in U(S)$ . Thus  $[fa^{-1}(1+y)]' = 1'$  and  $[fa^{-1}(1+y)]^2 = 1$  so that there exists  $z \in N$  with  $fa^{-1}(1+y) = 1+z$ . The equation  $(1+z)^2 = 1$  implies  $z = 0$ . Hence  $f \in \mu_2(S)$ .

**Lemma 2.2.** *With notation as above, let  $q$  be a quadratic space over  $A$  such that  $q \otimes_A \bar{A}$  and  $q \otimes_A A/\mathfrak{C}$  are extended from  $\bar{R}$  and  $R/\mathfrak{C}$  respectively. Then  $q$  is stably extended from  $R$ .*

**Proof.** Let  $\varphi : q \otimes_A \bar{A} \xrightarrow{\sim} \tilde{q} \otimes_A \bar{A}$  and  $\psi : q \otimes_A A/\mathfrak{C} \xrightarrow{\sim} \tilde{q} \otimes_A A/\mathfrak{C}$  be isometries over  $\bar{A}$  and  $A/\mathfrak{C}$  respectively such that  $\tilde{\varphi} = \tilde{\psi} = \text{Id}$ . Then  $\varphi^{*-1}\psi^* \in O_{\bar{A}/\mathfrak{C}}(q)$ , where ‘ $*$ ’ denotes the extensions to  $\bar{A}/\mathfrak{C}$ . Hence  $\varphi^{*-1}\psi^* \perp \text{Id} \in O_{\bar{A}/\mathfrak{C}}(q \perp h)$ . Since  $\bar{R}/\mathfrak{C}$  is a product of fields modulo its radical, by Lemma 1.3 there exist  $\eta \in EO_{\bar{A}/\mathfrak{C}}(q, h)$  and  $\tau \in O_{\bar{A}/\mathfrak{C}}(h)$  such that  $\varphi^{*-1}\psi^* \perp \text{Id} = \eta\tau$ . Since  $\tilde{\eta}\tilde{\tau} = \text{Id}$  and  $\det \tilde{\eta} = 1$  we have  $\det \tilde{\tau} = 1$ . Since  $\mu_2(\bar{A}/\mathfrak{C}) = \mu_2(\bar{R}/\mathfrak{C})$ , we have  $\det \tau = \det \tilde{\tau} = 1$ . Thus  $\tau = \tau_u$  for some  $u \in U(\bar{A}/\mathfrak{C})$ . Using the Cartesian square (2) and Milnor’s result [1, Ch. IX, 5.1] we obtain a rank-1 projective  $A$ -module  $P$  corresponding to the triple  $(\bar{A}, u, A/\mathfrak{C})$ . Since  $\bar{A} \rightarrow \bar{A}/\mathfrak{C}$  is surjective we can lift  $\eta$  to  $EO_{\bar{A}}(q, h)$  and alter  $\varphi$  suitably to assume that  $\varphi^{*-1}\psi^* \perp \text{Id} = \tau_u$ . Thus the triples  $(q \perp h, \text{Id} \perp \tau_u, q \perp h)$  and  $(\tilde{q} \perp h, \text{Id}, \tilde{q} \perp h)$  are equivalent and hence  $q \perp H(P) \xrightarrow{\sim} \tilde{q} \perp h$ .

We now analyse the structure of the Witt ring  $W(R[T, T^{-1}])$ . For this we consider the group  $K = \text{Ker}(W(R) \rightarrow W(\bar{R}) \oplus W(R/\mathfrak{C}))$ , the map being the diagonal map induced by the natural maps  $R \rightarrow \bar{R}$  and  $R \rightarrow R/\mathfrak{C}$ . The class of any quadratic space in the Witt ring will be denoted by  $[\cdot]$ . We first remark that given  $[q] \in K$ , there are isometries

$$\varphi : (q \perp h) \otimes_R \bar{R} \xrightarrow{\sim} (H(P) \perp h) \otimes_R \bar{R}$$

over  $\bar{R}$  and

$$\psi : (q \perp h) \otimes_R R/\mathbb{C} \xrightarrow{\sim} (H(P) \perp h) \otimes_R R/\mathbb{C}$$

over  $R/\mathbb{C}$  for some  $P \in \mathcal{P}(R)$ . Then  $\varphi^* \psi^{*-1} \in O_{\bar{R}/\mathbb{C}}(H(P) \perp h)$ , ‘\*’ denoting the extensions to  $\bar{R}/\mathbb{C}$ . Since  $\bar{R}/\mathbb{C}$  is a product of fields modulo its radical, by [2, Theorem 3.3] there exist  $\eta \in EO_{\bar{R}/\mathbb{C}}(H(P), h)$  and  $\tau \in O_{\bar{R}/\mathbb{C}}(h)$  such that  $\varphi^* \psi^{*-1} = \eta\tau$ . We can lift  $\eta$  to an element of  $EO_{\bar{R}}(H(P), h)$  and alter  $\varphi$  suitably to assume that  $\varphi^* \psi^{*-1} = \tau$ . Using the Cartesian square (1) we get a rank 2 quadratic space  $q_0$  over  $R$  which corresponds to the triple  $(h, \tau, h)$ . Thus  $q \perp h \xrightarrow{\sim} H(P) \perp q_0$  and hence,  $[q] = [q_0]$  in  $W(R)$ . Thus any element of  $K$  has a representative of the form  $[q_0]$ . The trivial element of  $K$  corresponds to the class of  $(h, \tau_u, h)$  for any  $u \in U(\bar{R}/\mathbb{C})$ .

Using this representation we now prove

**Proposition 2.3.** *With the notation as above, the map  $F : K \rightarrow \mu_2(\bar{R}/\mathbb{C}) / (\mu_2(\bar{R}) \cdot \mu_2(R/\mathbb{C}))$  defined by  $F([q]) = \overline{\det \tau}$  is a group isomorphism, where  $q$  corresponds to the triple  $(h, \tau, h)$  and bar denotes the class in  $\mu_2(\bar{R}/\mathbb{C}) / (\mu_2(\bar{R}) \cdot \mu_2(R/\mathbb{C}))$ .*

**Proof.**  $F$  is well-defined, since  $\tau$  uniquely defines  $[q]$  up to equivalence. Let  $[q_0], [q'_0] \in K$  be defined by the class of the triples  $(h, \tau, h)$  and  $(h, \tau', h)$ . Then  $\tau \perp \tau' \in O_{\bar{R}/\mathbb{C}}(h \perp h)$  and hence there exist  $\eta'' \in EO_{\bar{R}/\mathbb{C}}(h, h)$  and  $\tau'' \in O_{\bar{R}/\mathbb{C}}(h)$  such that  $\tau \perp \tau' = \eta'' \tau''$ . Then

$$\begin{aligned} F([q_0] + [q'_0]) &= F([q_0 \perp q'_0]) = \overline{\det \tau''} \\ &= \overline{\det \tau} \cdot \overline{\det \tau'} = F([q_0]) \cdot F([q'_0]) \end{aligned}$$

which shows that  $F$  is a homomorphism.

Let  $[q] \in K$  be given by the class of the triple  $(h, \tau, h)$  and  $F([q]) = 1$ . Then  $\det \tau = uv$  for  $u \in \mu_2(\bar{R})$ ,  $v \in \mu_2(R/\mathbb{C})$ . Since 2 is a unit in  $\bar{R}$  and  $R/\mathbb{C}$ , the maps  $O_{\bar{R}}(h) \xrightarrow{\det} \mu_2(\bar{R})$  and  $O_{R/\mathbb{C}}(h) \xrightarrow{\det} \mu_2(R/\mathbb{C})$  are surjective. Thus we can lift  $u$  and  $v$  and alter  $\tau$  suitably to assume that  $(h, \tau, h)$  is equivalent to  $(h, \tau_u, h)$  for some  $u' \in \mu_2(\bar{R}/\mathbb{C})$ . Thus  $[q] = 0$ , showing that  $F$  is injective.

Let  $u \in \mu_2(\bar{R}/\mathbb{C})$ . Let  $\tau \in O_{\bar{R}/\mathbb{C}}(h)$  s.t.  $\det \tau = u$ . Then  $(h, \tau, h)$  defines an element  $[q] \in K$  such that  $F([q]) = \bar{u}$  and hence  $F$  is surjective.

We next compute  $K$  for two rings.

**Example 1** [7, Proposition 4.5].  $R = k[t^2, t^3]$ ,  $k$  is a field of characteristic  $\neq 2$ . Then  $\bar{R} = k[t]$ ,  $I = \langle t^2, t^3 \rangle$ ,  $R/\mathbb{C} \xrightarrow{\sim} k$ ,  $\bar{R}/\mathbb{C} \xrightarrow{\sim} k[t]/\langle t^2 \rangle$ ,  $\mu_2(R/\mathbb{C}) = \{\pm 1\} = \mu_2(\bar{R}/\mathbb{C}) = \mu_2(\bar{R})$ . Thus  $K \xrightarrow{\sim} \{1\}$ .

**Example 2.** Let  $R = \mathbb{C}[X, Y]/\langle Y^2 - X^2 - X^3 \rangle$ . Then  $\bar{R} = \mathbb{C}[y/x]$  where  $x$  and  $y$  denote the classes of  $X$  and  $Y$  modulo  $\langle Y^2 - X^2 - X^3 \rangle$  and  $\mathbb{C} = \langle x, y \rangle$ . Thus  $R/\mathbb{C} \xrightarrow{\sim} \mathbb{C}$  and  $\bar{R}/\mathbb{C} \xrightarrow{\sim} \mathbb{C}[y/x]/\langle (y/x)^2 - 1 \rangle$  so that  $\mu_2(\bar{R}) = \mu_2(R/\mathbb{C}) = \{\pm 1\}$  and  $\mu_2(\bar{R}/\mathbb{C}) = \{\pm 1, \pm y/x\}$ . Thus  $K \xrightarrow{\sim} \mathbb{Z}/2\mathbb{Z}$ .

We now use  $K$  to prove the following

**Theorem 2.4.** *There is an exact sequence of groups*

$$0 \rightarrow K \xrightarrow{f} W(R) \oplus W(R) \xrightarrow{g} W(R[T, T^{-1}]) \rightarrow 0$$

where  $f([q]) = ([q], [q])$  and  $g([q_1], [q_2]) = [q_1] - [Tq_2]$  for  $[q] \in K$  and  $[q_1], [q_2] \in W(R)$ .

**Proof.** Clearly  $f$  and  $g$  are well-defined and  $f$  is injective. To prove that  $g$  is surjective we consider  $[q] \in W(R[T, T^{-1}])$  where the representative  $q$  is of rank  $\geq 3$  and has Witt index  $\geq 1$ . Since  $\bar{R}$  is a product of Dedekind domains by [5, Theorem 3.5] we have  $[q \otimes_A \bar{A}] = [q'_0 \perp Tq'_1]$  in  $W(\bar{A})$  for some quadratic spaces  $q'_0$  and  $q'_1$  over  $\bar{R}$ . Since  $R/\mathbb{C}$  is a product of fields modulo its radical, by [5, Lemma 1.2] there exist quadratic spaces  $q''_0, q''_1$  over  $R/\mathbb{C}$  such that  $[q \otimes_A A/\mathbb{C}] = [q''_0 \perp Tq''_1]$  in  $W(A/\mathbb{C})$ . Thus

$$[(q'_0 \perp Tq'_1) \otimes_{\bar{A}} \bar{A}/\mathbb{C}] = [(q''_0 \perp Tq''_1) \otimes_{A/\mathbb{C}} A/\mathbb{C}]$$

in  $W(\bar{A}/\mathbb{C})$ . Now there exist integers  $l_0, l_1, m_0, m_1 \geq 0$  and anisotropic quadratic spaces  $q_0^{*'}, q_0^{*''}, q_1^{*'}, q_1^{*''}$  over  $\bar{R}/\mathbb{C}$  such that

$$\begin{aligned} q'_0 \otimes_{\bar{A}} \bar{A}/\mathbb{C} &\simeq q_0^{*'} \perp h^{l_0}, & q''_0 \otimes_{A/\mathbb{C}} \bar{A}/\mathbb{C} &\simeq q_0^{*''} \perp h^{m_0}, \\ q'_1 \otimes_{\bar{A}} \bar{A}/\mathbb{C} &\simeq q_1^{*'} \perp h^{l_1}, & q''_1 \otimes_{A/\mathbb{C}} \bar{A}/\mathbb{C} &\simeq q_1^{*''} \perp h^{m_1}. \end{aligned}$$

Since  $\bar{R}/\mathbb{C}$  is a product of fields modulo its radical, by [5, Lemma 1.3] we have  $q_0^{*'} \perp Tq_1^{*'} \simeq q_0^{*''} \perp Tq_1^{*''}$ . Thus  $q_0^{*'} \simeq q_0^{*''}$  and  $q_1^{*'} \simeq q_1^{*''}$  over  $\bar{R}/\mathbb{C}$ . Hence there are integers  $i_0, i_1, j_0, j_1 \geq 0$  and isometries

$$\beta_0 : (q'_0 \perp h^{i_0}) \otimes_{\bar{R}} \bar{R}/\mathbb{C} \simeq (q''_0 \perp h^{j_0}) \otimes_{R/\mathbb{C}} \bar{R}/\mathbb{C}$$

and

$$\beta_1 : (q'_1 \perp h^{i_1}) \otimes_{\bar{R}} \bar{R}/\mathbb{C} \simeq (q''_1 \perp h^{j_1}) \otimes_{R/\mathbb{C}} \bar{R}/\mathbb{C}$$

over  $\bar{R}/\mathbb{C}$ . Let  $q_0, q_1$  be quadratic spaces over  $R$  defined by the triples  $(q'_0 \perp h^{i_0}, \beta_0, q''_0 \perp h^{j_0})$  and  $(q'_1 \perp h^{i_1}, \beta_1, q''_1 \perp h^{j_1})$  respectively and obtained from the Cartesian square (1). Let  $[P] = [q] - [q_0] - [Tq_1]$  in  $W(R[T, T^{-1}])$ . Then  $[p]$  is trivial in  $W(\bar{A})$  and  $W(A/\mathbb{C})$ . Thus  $p \otimes \bar{A}$  and  $p \otimes A/\mathbb{C}$  are extended from  $\bar{R}$  and  $R/\mathbb{C}$  respectively. Hence, by Lemma 2.2,  $p$  is stably extended from  $R$ . Thus  $[p] = [\tilde{p}] \in W(R)$ . Thus

$$[q] = [q_0 \perp \tilde{p} \perp Tq_1] = g([q_0 \perp \tilde{p}], [-q_1]).$$

To show that  $\text{Ker } g = \text{im } f$  consider  $([q_1], [q_2]) \in \text{Ker } g$ . Then  $[q_1] = [Tq_2]$  and hence  $[q_1] = [\tilde{q}_1] = [\tilde{T}q_2] = [q_2] = [q]$ , say, in  $W(R)$ . Since  $\bar{R}$  is a product of Dedekind domains, we may assume  $\bar{R}$  is a domain with quotient field  $k$ . Then  $[q] = [Tq]$  in  $W(k[T, T^{-1}])$  so that  $q \perp h^r \simeq Tq \perp h^r$  over  $k[T, T^{-1}]$  for some  $r > 0$ . Thus, by [5, Lemma 1.3],  $q \simeq Tq$ . Hence  $q$  is hyperbolic over  $k$ . Since  $W(\bar{R}) \rightarrow W(k)$  is injective,  $q \otimes_{\bar{R}} \bar{R}$  is hyperbolic over  $\bar{R}$ . Similarly, since  $[q] = [Tq]$  over  $A/\mathbb{C}$  and  $R/\mathbb{C}$  is a product of fields modulo its radical,  $q \otimes_R R/\mathbb{C}$  is hyperbolic over  $R/\mathbb{C}$ .

Thus  $[q] \in K$  and  $f([q]) = ([q_1], [q_2])$ , so that  $\text{Ker } g \subseteq \text{Im } f$ . Also, if  $[q] \in K$ , then  $q$  is stably hyperbolic over  $\bar{R}$  and  $R/\mathbb{C}$ , so that  $Tq$  is stably hyperbolic over  $\bar{A}$  and  $A/\mathbb{C}$ . Thus, by Lemma 2.2,  $[Tq] = [\bar{T}q] = [q]$  and hence  $gf([q]) = [q] - [Tq] = 0$ , so that  $\text{Im } f \subseteq \text{Ker } g$ .

**Remark.** In the course of the proof of the above theorem we have proved that given a quadratic space  $q$  over  $R[T, T^{-1}]$  there exist quadratic spaces  $q_0$  and  $q_1$  over  $R$  such that  $[q] = [q_0 \perp Tq_1]$  in  $W(R[T, T^{-1}])$ .

### 3. Cancellation of quadratic spaces over $R[T, T^{-1}]$

**Proposition 3.1.** *Let  $R$  be a Dedekind domain and  $q$  be a quadratic space over  $R[T, T^{-1}]$  of Witt index  $\geq 2$ . Then  $q$  is cancellative.*

**Proof.** It is enough to prove that if  $q'$  is a quadratic space over  $R[T, T^{-1}]$  such that  $q \perp h \xrightarrow{\sim} q' \perp h$ , then  $q \xrightarrow{\sim} q'$ . By [5, Theorem 3.5],  $q \xrightarrow{\sim} q_1 \perp Tq_2 \perp h \perp H(Q)$  where  $q_1$  and  $q_2$  are quadratic spaces over  $R$  and  $Q$  is a rank-1 projective  $R$ -module. Thus  $q'$  is stably isometric to  $(q_1 \perp h) \perp Tq_2 \perp H(Q)$  so that  $q' \xrightarrow{\sim} (q_1 \perp h) \perp Tq_2 \perp H(Q')$  where  $Q'$  is a rank-1 projective  $R$ -module [5, proof of Theorem 3.5]. Since Witt index  $\bar{q} \geq 2$  and  $\dim R = 1$  and

$$q_1 \perp q_2 \perp h \perp H(Q') \perp h \xrightarrow{\sim} q_1 \perp q_2 \perp h \perp H(Q) \perp h$$

we have, by [8, Theorem 7.2.],  $h \perp H(Q') \xrightarrow{\sim} h \perp H(Q)$ . Thus  $q' \xrightarrow{\sim} q$ .

Using this proposition we prove

**Theorem 3.2.** *Let  $R$  be a ring of dimension one with finite normalisation and in which 2 is invertible. Let  $q$  be a quadratic space over  $R[T, T^{-1}]$  with Witt index  $\geq 2$ . Then  $q$  is cancellative.*

**Proof.** We use the notation of Section 2. It is enough to prove that if  $q'$  is a quadratic space over  $A$  such that  $q \perp h \xrightarrow{\sim} q' \perp h$ , then  $q \xrightarrow{\sim} q'$ . Since Witt index  $q \geq 2$  there exists a rank-1 projective  $A$ -module  $P$  and a quadratic space  $q_0$  over  $A$  such that  $q = q_0 \perp H(P) \perp h$ . Since  $\bar{R}$  is a product of Dedekind domains, by Proposition 3.1 we have an isometry

$$q' \otimes_A \bar{A} \xrightarrow{\varphi} (q_0 \perp H(P) \perp h) \otimes_A \bar{A}.$$

Since  $\dim A/\mathbb{C} = 1$ , by [8, Theorem 7.2] we have an isometry

$$q' \otimes_A A/\mathbb{C} \xrightarrow{\psi} (q_0 \perp H(P) \perp h) \otimes_A A/\mathbb{C}$$

thus  $\psi^* \varphi^{*-1} \in O_{\bar{A}/\mathbb{C}}(q_0 \perp H(P) \perp h)$  where ‘\*’ denotes the extension to  $\bar{A}/\mathbb{C}$ . Since

$\bar{R}/\mathbb{C}$  is a product of fields modulo its radical, by Lemma 1.3 we have  $\psi^*\varphi^{*-1} = \tau\eta$  for some  $\tau \in O_{\bar{A}/\mathbb{C}}(h)$ ,  $\eta \in EO_{\bar{A}/\mathbb{C}}(q_0 \perp H(P), h)$ . Since  $\bar{A} \rightarrow \bar{A}/\mathbb{C}$  is a surjection, we can lift  $\eta$  to  $EO_{\bar{A}}(q_0 \perp H(P), h)$  and alter  $\varphi$  suitably to assume that  $\varphi^*\psi^{*-1} = \tau$ . Using the Cartesian square (2) we get a rank-2 quadratic space  $q_2$  over  $A$  which corresponds to the triple  $(h, \tau, h)$ . Then, since the triples  $(q', \text{Id}, q')$  and  $(q_0 \perp H(P) \perp h, \text{Id} \perp \tau, q_0 \perp H(P) \perp h)$  are equivalent, we get  $q' \simeq q_0 \perp H(P) \perp q_2$ . To complete the proof we show that  $H(P) \perp q_2 \simeq H(P) \perp h$ . Since  $\dim R = 1$  and  $q$  and  $q'$  are stably isometric, by [8, Theorem 7.2],  $\tilde{q}' \simeq \tilde{q}$ . Hence we have an isometry  $H(\tilde{P}) \perp \tilde{q}_2 \xrightarrow{\theta} H(\tilde{P}) \perp h$  over  $R$ . Since  $\bar{R}$  is a product of Dedekind domains,  $\text{Pic } \bar{R} = \text{Pic } \bar{A}$ . Also  $q_2 \otimes_A \bar{A} \simeq h$ . Thus we have isometries

$$\varphi_1 : (H(P) \perp q_2) \otimes_A \bar{A} \simeq (H(\tilde{P}) \perp \tilde{q}_2) \otimes_R \bar{A}$$

and

$$\varphi_2 : (H(P) \perp h) \otimes_A \bar{A} \simeq (H(\tilde{P}) \perp h) \otimes_R \bar{A}$$

over  $\bar{A}$  such that  $\tilde{\varphi}_1 = \text{Id} = \tilde{\varphi}_2$ , and hence we get an isometry

$$\varphi' = \varphi_2^{-1} \theta \varphi_1 : (H(P) \perp q_2) \otimes_A \bar{A} \simeq (H(P) \perp h) \otimes_A \bar{A}$$

such that  $\tilde{\varphi}' = \theta$ . Similarly, since  $\dim R/\mathbb{C} = 0$ , we have an isometry

$$\psi' : (H(P) \perp q_2) \otimes_A A/\mathbb{C} \simeq (H(P) \perp h) \otimes_A A/\mathbb{C}$$

such that  $\tilde{\psi}' = \theta$ . Then  $\varphi'^*\psi'^{-1} \in O_{\bar{A}/\mathbb{C}}(H(P) \perp h)$ . By Lemma 1.3 there exist  $\eta' \in EO_{\bar{A}/\mathbb{C}}(H(P), h)$  and  $\tau' \in O_{\bar{A}/\mathbb{C}}(h)$  such that  $\varphi'^*\psi'^{-1} = \eta'\tau'$ . Hence  $\tilde{\eta}'\tilde{\tau}' = \text{Id}$  so that  $\tilde{\tau}' \in EO_{\bar{R}/\mathbb{C}}(H(\tilde{P}), h)$ . Thus, by Lemma 1.1,  $\tilde{\tau}' = \tau_{u_0}$  for some  $u_0 \in U(\bar{R}/\mathbb{C})$ . Since  $\mu_2(\bar{A}/\mathbb{C}) = \mu_2(\bar{R}/\mathbb{C})$  we have  $\det \tau' = \det \tilde{\tau}' = 1$  and hence  $\tau' = \tau_u$  for some  $u \in U(\bar{A}/\mathbb{C})$  with  $\tilde{u} = u_0^2$ . We can lift  $\eta'$  to an element of  $EO_{\bar{A}}(H(P), h)$  and alter  $\varphi'$  suitably to assume  $\varphi'^*\psi'^{-1} = \tau_u$ . Since  $q \perp h \simeq q' \perp h$  and Witt index  $H(P) \perp h \perp h \geq 2$ , by [8, Theorem 7.2] we have  $(H(P) \perp h) \perp h \simeq (H(P) \perp q_2) \perp h$  so that the triples  $((H(P) \perp h) \perp h, \text{Id} \perp \text{Id}, (H(P) \perp h) \perp h)$  and  $((H(P) \perp h) \perp h, \tau_u \perp \text{Id}, (H(P) \perp h) \perp h)$  are equivalent. Hence there exist  $\alpha \in O_{\bar{A}}(q)$  and  $\beta \in O_{A/\mathbb{C}}(q)$  such that  $\alpha^*\beta^{*-1} = \tau_u \perp \text{Id}$ . Thus, applying the spinor norm homomorphism [1, 3.3] we get

$$\text{SN}(\alpha^*)\text{SN}(\beta^{*-1}) = \text{SN}(\tau_u \perp \text{Id}) = \langle u \rangle \quad [1, 4.4.1].$$

We have exact sequences

$$\begin{array}{ccccccc} 0 & \longrightarrow & U(\bar{A})/U(\bar{A})^2 & \longrightarrow & \text{Disc } \bar{A} & \xrightarrow{\theta_1} & {}_2\text{Pic } \bar{A} \longrightarrow 0 \\ & & \uparrow & & \uparrow & & \uparrow \\ 0 & \longrightarrow & U(\bar{R})/U(\bar{R})^2 & \longrightarrow & \text{Disc } \bar{R} & \xrightarrow{\theta_2} & {}_2\text{Pic } \bar{R} \longrightarrow 0 \end{array}$$

connected by the natural inclusions. Since  $\text{SN}(\alpha^*) = \text{SN}(\alpha) \in \text{Disc } \bar{A}$ , there exists  $\gamma \in \text{Disc } \bar{R}$  such that  $\theta_2(\gamma) = \theta_1(\text{SN}(\alpha))$  and hence there exists  $v \in U(\bar{A})$  such that  $v = \gamma^{-1} \otimes \text{SN}(\alpha)$  modulo  $U(\bar{A})^2$ . Thus  $\text{SN}(\alpha^*) = v\langle \gamma \rangle$ , where  $\gamma \in U(\bar{R}/\mathbb{C})$ , since  $\text{Pic}$

$\bar{A}/\mathbb{C} = 0$ . Also  $\text{SN}(\beta^{*-1}) = \text{SN}(\beta)^{-1} = \langle a \rangle$  for some  $a \in U(A/\mathbb{C})$ , since  $\text{Pic } A/\mathbb{C} = 0$ . Thus, over  $\bar{A}/\mathbb{C}$ ,  $\langle u \rangle = \langle v \rangle \langle \gamma' \rangle \langle a \rangle$ . Since  $\text{Disc } \bar{A}/\mathbb{C} \cong U(\bar{A}/\mathbb{C})/U(\bar{A}/\mathbb{C})^2$ , there exists  $b \in U(\bar{A}/\mathbb{C})$  such that  $u = v\gamma'ab^2$ . Thus  $u_0^2 = \tilde{u} = \tilde{v}\gamma'\tilde{a}\tilde{b}^2$  and hence  $u = (v\tilde{v}^{-1})(a\tilde{a}^{-1})(u_0^2b^2\tilde{b}^{-2})$ . By Lemma 1.2,  $\text{Id} \perp \tau_{u_0^2b^2\tilde{b}^{-2}} \in EO_{\bar{A}/\mathbb{C}}(H(P), h)$ , and hence can be lifted to  $EO_{\bar{A}}(H(P), h)$ . Also  $v\tilde{v}^{-1} \in U(\bar{A})$  and  $a\tilde{a}^{-1} \in U(A/\mathbb{C})$ . Thus we can alter  $\varphi'$  and  $\psi'$  suitably to obtain  $\varphi'^*\psi'^{*^{-1}} = \text{Id}$ . Hence  $H(P) \perp q_2 \xrightarrow{\sim} H(P) \perp h$  and  $q' \xrightarrow{\sim} q$ .

## References

- [1] H. Bass, Algebraic K-theory (Benjamin, New York, 1968).
- [2] M. Eichler, Quadratische Formen und Orthogonale Gruppen (Springer, Berlin, 1952).
- [3] M. Karoubi, Localisation de formes quadratiques II, Ann. Sci. École Norm. Sup. (4) 8 (1975) 99–155.
- [4] R. Parimala, Quadratic forms over polynomial rings over Dedekind domains, Amer. J. Math. 103 (1981) 289–296.
- [5] R. Parimala, Quadratic forms over Laurent extensions of Dedekind domains, Trans. Amer. Math. Soc. 277 (1973) 569–578.
- [6] R. Parimala and P. Sinclair, Quadratic forms over polynomial extensions of rings of dimension 1, J. Pure Appl. Algebra 24 (1982) 293–302.
- [7] R. Parimala and R. Sridharan, Quadratic forms over rings of dimension 1, Comm. Math. Helv. 55 (1980) 634–644.
- [8] A. Roy, Cancellation of quadratic forms over commutative rings, J. Algebra 10 (1968) 286–298.